



United States Department of Agriculture

Food Safety and Inspection Service

# **Grinding Recordkeeping Rule: Policy and Practical Experience**

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Carl-Martin Ruiz & Tom Collaro

Office of Investigation, Enforcement and Audit

Dr. William K. Shaw, Jr.

Office of Policy and Program Development

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# Outline

- FSIS Authority & Activities In-Commerce
  - OIEA – Compliance and Investigations Division (CID)
  - Surveillance Activities
- Grinding Recordkeeping Rule:
  - Requirements & Examples
- Observations of an Investigator
- Q&A Discussion

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# Background

- The responsibility for protecting public health is directly tied to the FMIA, PPIA, EPIA, and mission of FSIS
- The Acts give FSIS the authority and responsibility to protect consumers by assuring products are wholesome, not adulterated and properly labeled
- The Acts provide FSIS with enforcement authorities and sanctions for violations







# FSIS Compliance and Investigation Division (CID)

- Surveillance
- Investigations
- Foodborne illness investigations
- Product detention and control
- Food defense
- Emergency response
- Education
- Liaison



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# CID Surveillance

- Food safety, food defense, product sampling, and other in-commerce activities to ensure product safety, security, and industry awareness and compliance

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# Where do Investigators Work?

- Inspected slaughter and processing plants
- Custom slaughter and processing plants
- Distribution centers
- Public warehouses
- Transporters
- Brokerage firms
- **Retail stores**
- Restaurants
- Salvage dealers
- Hospitals, prisons, nursing homes, and schools

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# Surveillance

- Jurisdiction over 750,000 in-commerce businesses, including about 160,000 in the ICS, that transport, store, distribute, prepare, and sell meat, poultry, and egg products
- Ensure industry compliance and consumer safety
  - Product and facility assessments
  - Domestic and imported products
  - Food safety, sanitation, hazard control
  - Food defense assessment
  - Marking, labeling, and consumer protection
  - Surveillance sampling



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# Surveillance Prioritization

## Tier 1 Types (70%)

- ✓ Distributor
- ✓ Warehouse

## Tier 2 Types (30%)

- ✓ Transporter
- ✓ **Retailer**
- ✓ 3D/4D Operator
- ✓ Exempt Poultry
- ✓ Custom
- ✓ Food Bank
- ✓ Salvage

## Tier 3 Types (For Cause)

- ✓ Renderer
- ✓ Abattoir
- ✓ Animal Food
- ✓ Bonded Area
- ✓ Broker
- ✓ Institutions
- ✓ Port of Entry
- ✓ Processor
- ✓ Restaurant
- ✓ Miscellaneous

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# FSIS Rulemaking: Grinding Records

- On December 14, 2015, FSIS published the final rule, “Records to be Kept by Official Establishment and Retail Stores That Grind Raw Beef Products.”
- The Rule became effective on June 20, 2016, and full enforcement started April 1, 2017.
- This rule is necessary to improve FSIS’s ability to accurately trace the source of foodborne illness outbreaks involving ground beef and to identify the source materials that may be attributable to these outbreaks.



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## § 320.1 (b)(4)(i) – Grinding Record Keeping Requirements

1. Date and time of production
2. Manufacturer name of source material
3. Supplier lot numbers, production dates
4. Supplier establishment numbers
5. Date and time when equipment and surfaces are cleaned and sanitized

NEW WAVE STORE

123 Main Street

Anytown, USA, Zip Code

FRESH GROUND BEEF PRODUCTION LOG/TRACKING LIST

Employee Name \_\_\_\_\_ Today's Date \_\_\_\_\_

Date and Time of Grind	Manufacturer Name of Source Material Used for Product Produced	Supplier Lot #s, Product Code and/or Pack Date of Source Material Used	Est. Number(s) of Est. providing source material	Date and Time Grinder and Related FCSs Cleaned and Sanitized	Comments

\_\_\_\_\_  
Signature of Store Management Reviewer

\_\_\_\_\_  
Date

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# FY 2017 Retail Project's Outcomes

### Retail Ground Beef Program

- FSIS Sampling Plan MT05; *E.coli* O157:H7 Samples Collected – **577** (Goal FY: 560); No Positives

### Grinding Log Verifications (April – September 2017)

- **895** firms visited; **420** firms educated; issued **14** Notice of Warnings (NOWs) and **78** Letter of Informations (LOIs)

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# **FY 2018 Retail Project's Outcomes**

## Grinding Log Verifications (October 2017 – March 2018)

- 852 retail firms visited
- 481 had sufficient grinding logs
- 371 did not
- Northeast Region had the highest percentage of firms not maintaining grinding logs (52.80%)



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# Observations from an Investigator

- FSIS needs help educating firms
- Firms with more employees are doing better (21-100 employees)
- How do we reach independently owned stores?
- Multiple visits = multiple enforcement actions?

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# Questions?

<http://askfsis.custhelp.com/>



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