

Food Safety and Inspection Service

Grinding Recordkeeping Rule: Policy and Practical Experience

AFDO 2018 Annual Meeting

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Outline

- FSIS Authority & Activities In-Commerce
 - OIEA Compliance and Investigations Division (CID)
 - Surveillance Activities
- Grinding Recordkeeping Rule:
 - Requirements & Examples
- Observations of an Investigator
- Q&A Discussion



FSIS

Background

- The responsibility for protecting public health is directly tied to the FMIA, PPIA, EPIA, and mission of FSIS
- The Acts give FSIS the authority and responsibility to protect consumers by assuring products are wholesome, not adulterated and properly labeled
- The Acts provide FSIS with enforcement authorities and sanctions for violations

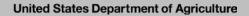




FSISCompliance and Investigation Division (CID)

- Surveillance
- Investigations
- Foodborne illness investigations
- Product detention and control
- Food defense
- Emergency response
- Education
- Liaison





CID Surveillance

 Food safety, food defense, product sampling, and other in-commerce activities to ensure product safety, security, and industry awareness and compliance

FSIS

Where do Investigators Work?

- Inspected slaughter and processing plants
- Custom slaughter and processing plants
- Distribution centers
- Public warehouses
- Transporters
- Brokerage firms
- Retail stores
- Restaurants
- Salvage dealers
- Hospitals, prisons, nursing homes, and schools

FSIS



- Jurisdiction over 750,000 in-commerce businesses, including about 160,000 in the ICS, that transport, store, distribute, prepare, and sell meat, poultry, and egg products
- Ensure industry compliance and consumer safety
 - Product and facility assessments
 - Domestic and imported products
 - Food safety, sanitation, hazard control
 - Food defense assessment
 - Marking, labeling, and consumer protection
 - Surveillance sampling



Surveillance Prioritization

<u> Tier 1 Types (70%)</u>

✓ Distributor✓ Warehouse

<u> Tier 2 Types (30%)</u>

Transporter
Retailer
3D/4D Operator
Exempt Poultry
Custom
Food Bank
Salvage

Tier 3 Types (For Cause)

- Renderer
- Abattoir
- Animal Food
- Bonded Area
- Broker
- Institutions
- Port of Entry
- ✓ Processor
- Restaurant
- Miscellaneous





FSIS Rulemaking: Grinding Records

- On December 14, 2015, FSIS published the final rule, "Records to be Kept by Official Establishment and Retail Stores That Grind Raw Beef Products."
- The Rule became effective on June 20, 2016, and full enforcement started April 1, 2017.
- This rule is necessary to improve FSIS's ability to accurately trace the source of foodborne illness outbreaks involving ground beef and to identify the source materials that may be attributable to these outbreaks.



§ 320.1 (b)(4)(i) – Grinding Record Keeping Requirements

1. Date and time of production

| | | | 2. Manufacturer name of source material | | | |
|---------------------------|----------------------------|-------------|---|--|--|----------|
| NEW WAVE STORE | | | 3. Supplier lot numbers, production dates | | | |
| | 123 Main Street | | 4. Supplier establishment numbers | | | |
| An | ytown, USA, Zip Code | | 5. Date and time when equipment and | | | |
| FRESH GROUND BE | EF PRODUCTION LOG/TRACKING | G LIST | surfac | es are clear | ned and sanitiz | zed |
| Employee Name | То | oday's Date | | | | |
| Date and Time of Grind | | | ts, Product Pack Date of rial Used | Est. Number(s) of Est. providing source material | Date and Time Grinder and Related FCSs Cleaned and Sanitized | Comments |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Signature of Store | Management Reviewer | | | Date | | |



FY 2017 Retail Project's Outcomes

Retail Ground Beef Program

 FSIS Sampling Plan MT05; *E.coli* O157:H7 Samples Collected – **577** (Goal FY: 560); No Positives

<u>Grinding Log Verifications (April – September 2017)</u>

• **895** firms visited; **420** firms educated; issued **14** Notice of Warnings (NOWs) and **78** Letter of Informations (LOIs)



FY 2018 Retail Project's Outcomes

<u>Grinding Log Verifications (October 2017 – March 2018)</u>

- 852 retail firms visited
- 481 had sufficient grinding logs
- 371 did not
- Northeast Region had the highest percentage of firms not maintaining grinding logs (52.80%)



Observations from an Investigator

- FSIS needs help educating firms
- Firms with more employees are doing better (21-100 employees)
- How do we reach independently owned stores?
- Multiple visits = multiple enforcement actions?





Questions?

http://askfsis.custhelp.com/



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